

# Application for the Issuance of a Site Certificate based on Common Criteria by the German Federal Office for Information Security (BSI)

This is to apply for a Site Certificate for the Target of Evaluation (TOE) specified under No. 3<sup>1</sup>.

## 1 Applicant

*Name, Address*

Optional notification: The certification is being sponsored by:

*Name sponsor*

## 2 Applicant's Point of Contact for the Certification

*Name, Tel.-No., FAX, e-mail*

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1 Legal Basis:  
Act on the Federal Office for Information Security (BSI-Gesetz - BSIG) of 14 August 2009,  
Bundesgesetzblatt I p. 2821

Ordinance on the Procedure for Issuance of a Security Certificate by the Bundesamt für Sicherheit in der Informationstechnik (BSI-Zertifizierungsverordnung-BSIZertV) of 7 July 1992,  
Bundesgesetzblatt I p. 1230

### **3 Object of the Certification**

The certificate will be related to specific organisational units / rooms / buildings and the infrastructure therein. These entities need to be identified and briefly described. Additionally, for each organisational unit the site including the postal address needs to be specified.

Unique identification of the sites under evaluation and its relevant entities (target of evaluation) (Addressess, names of organisational units, room, buildings)

### **4 Evaluation Criteria**

Common Criteria, Version 3.1 with Supporting Document, Guidance Site Certification, October 2007, Version 1.0, Revision 1, CCDB-2007-11-001

### **5 Type of Certification**

- Mark with a cross resp. fill in where applicable -

The application concerns

- an initial certification. The document Site Security Target is attached.
- the re-certification or maintenance process<sup>2</sup> due to modifications of the site certified under the certification ID

BSI-DSZ-CC-S--

A description of the changes is attached (Impact Analysis Report, IAR).<sup>3</sup>

- An updated Site Security Target is attached (if applicable)

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2 A re-certification is a process of assurance continuity with a so called "Major Change". A maintenance process is a process of assurance continuity with a so called "Minor Change". The decision if a specific change is a "Major Change" or a "Minor Change" is made by the certification body as a result of an assessment of the evidence provided.

3 BSI examines whether a re-evaluation is necessary.

## **6 The Evaluation Process <sup>4</sup>**

The evaluation shall be performed by the following evaluation facility licensed by BSI:

*- Name and address of the evaluation facility -*

An evaluation contract has been signed between the applicant and the ITSEF on (date)

An evaluation plan was prepared between the applicant and the ITSEF for approval by the certification body and is attached.

## **7 Announcement prior to Conclusion of the Procedure <sup>5</sup>**

*- Mark with a cross where applicable -*

For certification and re-certification:

Subsequent to the beginning of the evaluation, the site specified under No.3

- may be listed, including the data under No. 1 and No. 3 of this application form,
- may not be listed

in BSI publications in the category "...under certification...".

## **8 Announcement upon positive Conclusion of the Procedure**

In case a certificate is issued or the existing certificate is maintained after changes to the target of evaluation, the applicant agrees to the publication of the certification result and the certification report including the public version of the Site Security Target - completely or in parts, also in electronic form - by BSI.

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4 In case of re-certification or if necessary for maintenance it is the evaluation facility which has performed the baseline evaluation of the procedure indicated in No. 5. Exception from this rule will be decided by the certification body on a case by case. In case of a maintenance procedure involvement of an evaluation facility is only required in exceptional cases. This will we be agreed upon between the applicant and the certification body in case of relevance.

5 In case of a maintenance procedure this information is not required

## **9 Costs**

For performing the certification procedure BSI will charge for fees and expenses according to the BSI Regulations on Ex-parte Costs – BSI-KostV<sup>6</sup>. The fees result from the list of fees valid at the application point in time.

The applicant agrees that BSI will charge for fees and expenses.

Certification costs shall be charged by using the following order number (notification is required only if needed by the applicants internal invoicing process):

## **10 Privacy**

The applicant agrees that personal data resulting from this application are being stored electronically at BSI for the purpose of performing the certification procedure applied for.

## **11 Archiving of evaluation documentation / of the certified product**

The applicant commits to archive the evaluation evidence/applicants documents related to the target of evaluation as defined under No.3 for a time frame of 5 years. Within this time frame these parts of the certified product, documents and files will be made available to BSI for the purpose of re-examination of the certificate on request and without any costs.

The applicant agrees that BSI archives all evidence provided and the BSI internal files based on the scheme regulation for archiving.

## **12 Additional declarations**

The applicant declares additionally,

- that he will provide all the information required for performing the certification process,

that he – in case of issuance of a certificate – will use the certificate only according to the obligations as defined by BSI.

\_\_\_\_\_  
Place, Date

\_\_\_\_\_  
Signature, Company Stamp

6 Regulations on Ex-parte Costs on Official Acts of the Federal Office for Information Security (BSI Regulations on Ex-parte Costs – BSI-KostV) Dated 3 March 2005, ([www.bsi.bund.de/Costs](http://www.bsi.bund.de/Costs))

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Attachments:

- Site Security Target (see No. 5 above)
- A description of the changes of the site, its security concept and the method of use and an assessment on the impact of the changes (Impact Analysis Report, IAR) related to the assurance requirements (see No. 5 above)
- Evaluation Plan (see No. 6 above)