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Test plan for eID-cards with eSign-application acc. to BSI TR-03117

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1 Introduction

The TR-03105 defines a RF protocol and application test standard for eID-Cards. Version 2.0 of that document includes security mechanisms for ePassport, eID and eSign applications.

This document describes the test plan for eCards with advanced security mechanisms used for eID and eSign applications referring to EAC version 2 and the corresponding dependencies. Mainly, the eSign application is tested, but it requires working eID authentication mechanisms.

As already known by the EAC version 1 test plan, this specification has a layer based structure. The layers 1-4 refer the RF protocol according to the ISO 14443 1-4 standard. Since the defined security mechanisms have no direct influence on this abstraction layer, this amendment does not contain any tests for these layers. However, this document concentrates on the tests for the layer 6 (ISO 7816).

This document is supplementary to the EAC2.0 test specification.

Most specified eSign procedures deal with PIN and key management. Mainly, the implications explained by table 1 on page 36 in chapter A.2 of [TR03117 2009] are checked.

The tests comply with the functions and states shown in figure 1, which gives a simplified overview of the test coverage. The figure itself is merely *informative*[1].

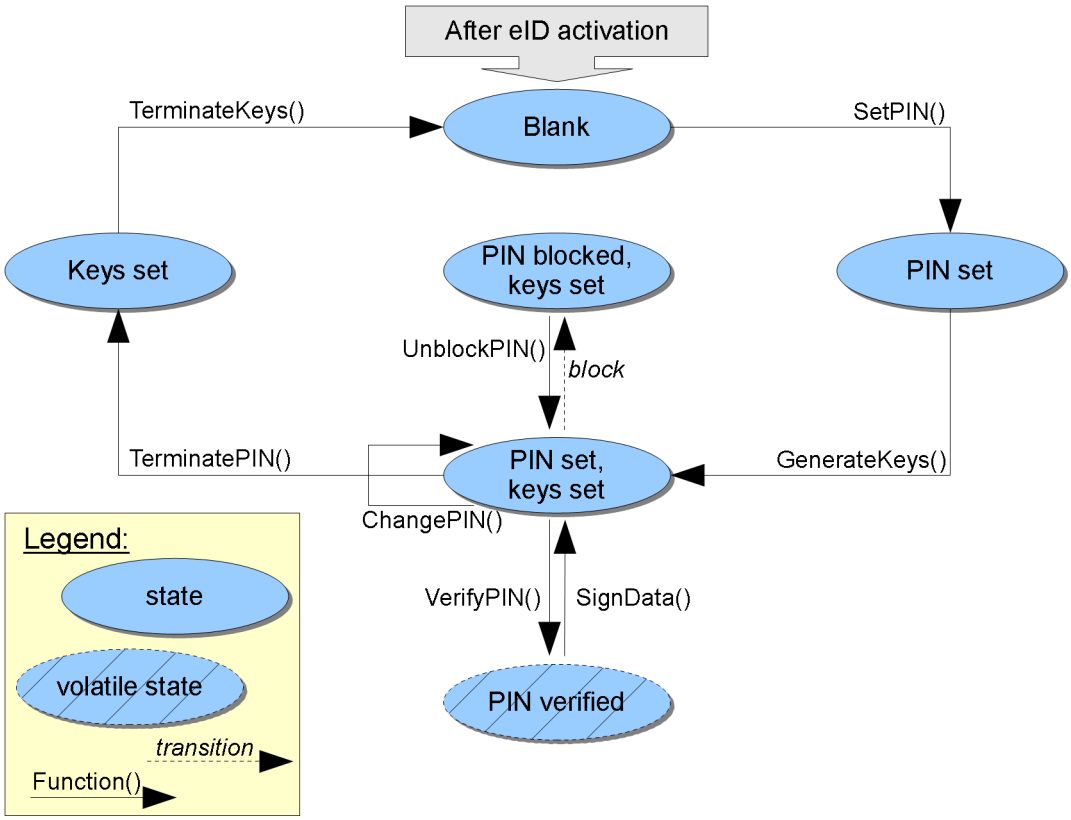

Figure 1: Description of eSign test coverage

1 The figure does neither restrict, extend, define nor remove any requirements on the implementation of eSign. In particular, the eSign application does not need to be implemented on a chip as state machine.

The procedures are essentially mapped to ISO7816 APDUs in the following way:

- The functions SetPIN() and ChangePIN are implemented by CHANGE REFERENCE DATA command. ChangePIN() does not result in a state transition, just the PIN value changes.
- The function GenerateKeys() utilizes GENERATE ASYMMETRIC KEY command.
- A VERIFY APDU is used for VerifyPIN() procedure. Successful verification enables a volatile state, where signing is possible. Multiple verification failures provoke blocking of the eSign PIN.
- SignData() is implemented by PSO: COMPUTE DIGITAL SIGNATURE command.
- TerminatePIN() and TerminateKeys() are performed by using a TERMINATE command.
- UnblockPIN() utilizes RESET RETRY COUNTER to release a blocked eSign PIN.

2 General test requirements

This chapter describes the general test requirements.

Test setup

For setting up these tests, any contactless reader supporting type A and type B protocols can be used. However, this reader has to support extended length APDUs requested for Terminal Authentication.

To execute any of the test cases described here, several types of test samples are required.

For executing all tests with one sample, this sample has to implement the eID application as specified in [TR03110 2009] and the eSign application for electronic signatures as described in [TR03117 2009].

The tests MUST be performed in the given order. First, a series of positive tests traverses the described states (see Introduction) in order to validate the correct implementation of the procedures. The following tests force errors and describe wrong procedures to verify proper handling of restrictions and error codes.

Test profiles

Table 1 lists all used test profiles. Those are copied from EAC2.0 test specification.

Profile-ID	*Profile*	*Remark*
eID	Electronic Identification Application	An application which contains authorization mechanisms as specified in [TR03110 2009].
eSign	Electronic Signature Application	An application which contains data and mechanisms as specified in [TR03117 2009].

Table 1: List of test profiles

Key pair definition

The certificate sets defined in section are based on several asymmetric key pairs. In preparation to the tests, these key pairs have to be generated. The parameters used for these keys are depending on the initial CVCA private key.

The initial CVCA root private key SHOULD be provided by the eCard vendor. It is also possible that the eCard vendor generates all keys and certificates on its own and passes it to the test operator for the tests.

There are separate CVCA roots for each terminal type.

All key pairs MUST be generated independently, so it is not permitted to use the same key pair for all sets. Table 2 lists all defined certificate key pairs.

Key pair	*Description*
S_ST_CVCA_KEY_01	Public/private key of CVCA root for Signature Terminals
S_DV_KEY_01	Key pair of document verifier S_DV_01
S_ST_KEY_01	Key pair of signature terminal S_ST_01
S_AT_CVCA_KEY_02	Public/private key of CVCA root for Authentication Terminals
S_DV_KEY_02	Key pair of document verifier S_DV_02
S_AT_KEY_02	Key pair of authentication terminal S_AT_02

Table 2: Description of all defined certificate key pairs

Certificate specification

Since the advanced security mechanisms are using a certificate based authentication schema, it is necessary to provide a set of well prepared certificates in order to perform all tests.

This section defines the exact set of certificates referred in the tests. Besides the regular certificate chain, there is also the need for special encoded certificates.

The certificates are specified in two different ways. For provider of personalized eCard samples, which do already have a preconfigured trust point based on their own CVCA key pair, the sections below define sets of certificates relative to the effective date (*CVCAeff*) and expiration date (*CVCAexp*) of the given CVCA. The time span between CVCAeff and CVCAexp MUST be at least two month to allow proper adoption of the certificate time scheme defined below. The "current date" of the provided sample MUST be set to CVCAeff before the tests are started. The CVCA MUST NOT restrict authorization in any way, i.e. its Certificate Holder Authorization contains all rights. The provider of the sample or the test laboratory has to generate the corresponding certificate according to this specification based on the CVCA data.

There are separate CVCA roots for each terminal type, but they all SHOULD have equal effective and expiration dates.

If no preconfigured key pair is available or if the production process allows the use of an externally defined CVCA, a certificate set can be used which is defined as a "worked example" by this specification. This set is provided for ECDSA, RSA and RSAPSS based certificates and is defined in a full binary form with fixed keys and dates. It also includes a definition for an initial CVCA key pair and its effective and expiry dates.

Certificate Set S_01

The certificate set consists of a regular certificate chain (DV → ST) which is used for the tests where a signature terminal is needed for eSign.

S_DV_CERT_01

Table 3 describes certificate S_DV_CERT_01 of document verifier S_DV_01 in detail.

ID	S_DV_CERT_01	
Purpose	This certificate is a regular DV certificate. Its validity period starts at the effective date of the CVCA and expires after one month.	
Version	eSign_1.0	
Referred by	ESIGN_ISO7816_S_1, ESIGN_ISO7816_S_3, ESIGN_ISO7816_S_4, ESIGN_ISO7816_S_5, ESIGN_ISO7816_S_6, ESIGN_ISO7816_S_7, ESIGN_ISO7816_S_9, ESIGN_ISO7816_S_10, ESIGN_ISO7816_S_11, ESIGN_ISO7816_S_12, ESIGN_ISO7816_S_14, ESIGN_ISO7816_S_15, ESIGN_ISO7816_S_16, ESIGN_ISO7816_S_17, ESIGN_ISO7816_S_18, ESIGN_ISO7816_S_21, ESIGN_ISO7816_S_22, ESIGN_ISO7816_S_23, ESIGN_ISO7816_S_24	

Content	`7F 21 aa`
	`  7F 4E bb`
	`  5F 29 01 00`
	`  42 cc dd`
	`  7F 49 ee ff`
	`  5F 20 gg hh`
	`  7F 4C 0E`
	`  06 09 04 00 7F 00 07 03 01 02 03`
	`  53 01 83`
	`  5F 25 06 ii`
	`  5F 24 06 jj`
	`5F 37 kk ll`
	aa is the encoded combined length of certificate body and signature objects,
	bb is the encoded length the certificate body object,
	cc is the encoded length of the Certificate Authority Reference,
	dd is the placeholder for the Certificate Authority Reference (*cc* bytes),
	ee is the encoded length of the certificates public key,
	ff is the placeholder for the certificates public key bytes (*ee* bytes),
	gg is the encoded length of the Certificate Holder Reference,
	hh is the placeholder for the Certificate Holder Reference (*gg* bytes),
	ii is the placeholder for the BCD encoded effective date of the certificate,
	jj is the placeholder for the BCD encoded expiration date of the certificate,
	kk is the encoded length of the certificates signature object,
	ll is the placeholder for the certificates signature (*kk* bytes).	

Parameters	***Certificate Authority Reference***	As defined by the CVCA for ST
	Certificate Holder Reference	DETESTSIGNDV0001
	Certificate Holder Authorisation	DV (ST, Accreditation Body); all rights

	Certificate Effective Date	CVCAeff
	Certificate Expiration Date	CVCAeff + 1 month
	Public Key Reference	Public key of S_DV_KEY_01
	Signing Key Reference	Signed by private key of S_ST_CVCA_KEY_01

Table 3: Detailed description of certificate S_DV_CERT_01

S_ST_CERT_01

Table 4 describes certificate S_ST_CERT_01 of signature terminal S_ST_01 in detail.

ID	S_ST_CERT_01
Purpose	This certificate is a regular ST certificate, which is issued by the S_DV_CERT_01.
Version	eSign_1.0
Referred by	ESIGN_ISO7816_S_1, ESIGN_ISO7816_S_3, ESIGN_ISO7816_S_4, ESIGN_ISO7816_S_5, ESIGN_ISO7816_S_6, ESIGN_ISO7816_S_7, ESIGN_ISO7816_S_9, ESIGN_ISO7816_S_10, ESIGN_ISO7816_S_11, ESIGN_ISO7816_S_12, ESIGN_ISO7816_S_14, ESIGN_ISO7816_S_15, ESIGN_ISO7816_S_16, ESIGN_ISO7816_S_17, ESIGN_ISO7816_S_18, ESIGN_ISO7816_S_21, ESIGN_ISO7816_S_22, ESIGN_ISO7816_S_24

Content	`7F 21 aa`
	`  7F 4E bb`
	`  5F 29 01 00`
	`  42 cc dd`
	`  7F 49 ee ff`
	`  5F 20 gg hh`
	`  7F 4C 0E`
	`  06 09 04 00 7F 00 07 03 01 02 03`
	`  53 01 03`
	`  5F 25 06 ii`
	`  5F 24 06 jj`
	`5F 37 kk ll`
	aa is the encoded combined length of certificate body and signature objects,
	bb is the encoded length the certificate body object,
	cc is the encoded length of the Certificate Authority Reference,
	dd is the placeholder for the Certificate Authority Reference (*cc* bytes),
	ee is the encoded length of the certificates public key,
	ff is the placeholder for the certificates public key bytes (*ee* bytes),
	gg is the encoded length of the Certificate Holder Reference,
	hh is the placeholder for the Certificate Holder Reference (*gg* bytes),
	ii is the placeholder for the BCD encoded effective date of the certificate,

	jj is the placeholder for the BCD encoded expiration date of the certificate, *kk* is the encoded length of the certificates signature object, *ll* is the placeholder for the certificates signature (*kk* bytes).	
Parameters	***Certificate Authority Reference***	DETESTSIGNDV0001
	Certificate Holder Reference	DETESTSIGNST0001
	Certificate Holder Authorisation	ST; all rights
	Certificate Effective Date	CVCAeff
	Certificate Expiration Date	CVCAeff + 14 days
	Public Key Reference	Public key of S_ST_KEY_01
	Signing Key Reference	Signed by private key of S_DV_KEY_01

Table 4: Detailed description of certificate S_ST_CERT_01

S_ST_CERT_01a

Table 5 describes certificate S_ST_CERT_01a of signature terminal S_ST_01 in detail.

ID	S_ST_CERT_01a
Purpose	This certificate is a regular ST certificate, which is issued by the S_DV_CERT_01. It is almost identical to S_ST_CERT_01, but the right for Generate Qualified Electronic Signature is missing.
Version	eSign_1.0
Referred by	ESIGN_ISO7816_S_23

Content	`7F 21 aa`
	`  7F 4E bb`
	`  5F 29 01 00`
	`  42 cc dd`
	`  7F 49 ee ff`
	`  5F 20 gg hh`
	`  7F 4C 0E`
	`  06 09 04 00 7F 00 07 03 01 02 03`
	`  53 01 01`
	`  5F 25 06 ii`
	`  5F 24 06 jj`
	`5F 37 kk ll`
	aa is the encoded combined length of certificate body and signature objects,
	bb is the encoded length the certificate body object,
	cc is the encoded length of the Certificate Authority Reference,

	dd is the placeholder for the Certificate Authority Reference (*cc* bytes), *ee* is the encoded length of the certificates public key, *ff* is the placeholder for the certificates public key bytes (*ee* bytes), *gg* is the encoded length of the Certificate Holder Reference, *hh* is the placeholder for the Certificate Holder Reference (*gg* bytes), *ii* is the placeholder for the BCD encoded effective date of the certificate, *jj* is the placeholder for the BCD encoded expiration date of the certificate, *kk* is the encoded length of the certificates signature object, *ll* is the placeholder for the certificates signature (*kk* bytes).	
Parameters	***Certificate Authority Reference***	DETESTSIGNDV0001
	Certificate Holder Reference	DETESTSIGNST0001
	Certificate Holder Authorisation	ST; Generate electronic signature
	Certificate Effective Date	CVCAeff
	Certificate Expiration Date	CVCAeff + 14 days
	Public Key Reference	Public key of S_ST_KEY_01
	Signing Key Reference	Signed by private key of S_DV_KEY_01

Table 5: Detailed description of certificate S_ST_CERT_01a

Certificate Set S_02

The certificate set consists of a regular certificate chain (DV → AT) which is used for the tests where an authentication terminal is needed for eSign.

S_DV_CERT_02

Table 6 describes certificate S_DV_CERT_02 of document verifier S_DV_02 in detail.

ID	S_DV_CERT_02
Purpose	This certificate is a regular DV certificate. Its validity period starts at the effective date of the CVCA and expires after one month.
Version	eSign_1.0
Referred by	ESIGN_ISO7816_S_2, ESIGN_ISO7816_S_8, ESIGN_ISO7816_S_13, ESIGN_ISO7816_S_20

Content	`7F 21 aa`
	`  7F 4E bb`
	`  5F 29 01 00`

	42 cc dd 7F 49 ee ff 5F 20 gg hh 7F 4C 0E 06 09 04 00 7F 00 07 03 01 02 02 53 05 80 1F FF FF C0 5F 25 06 ii 5F 24 06 jj 5F 37 kk ll *aa* is the encoded combined length of certificate body and signature objects, *bb* is the encoded length the certificate body object, *cc* is the encoded length of the Certificate Authority Reference, *dd* is the placeholder for the Certificate Authority Reference (*cc* bytes), *ee* is the encoded length of the certificates public key, *ff* is the placeholder for the certificates public key bytes (*ee* bytes), *gg* is the encoded length of the Certificate Holder Reference, *hh* is the placeholder for the Certificate Holder Reference (*gg* bytes), *ii* is the placeholder for the BCD encoded effective date of the certificate, *jj* is the placeholder for the BCD encoded expiration date of the certificate, *kk* is the encoded length of the certificates signature object, *ll* is the placeholder for the certificates signature (*kk* bytes).	
Parameters	***Certificate Authority Reference***	As defined by the CVCA for AT
	Certificate Holder Reference	DETESTSIGNDV0002
	Certificate Holder Authorisation	DV (AT, official domestic); read DG1-21, Install Certificate, Install Qualified Certificate
	Certificate Effective Date	CVCAeff
	Certificate Expiration Date	CVCAeff + 1 month
	Public Key Reference	Public key of S_DV_KEY_02
	Signing Key Reference	Signed by private key of S_AT_CVCA_KEY_02

Table 6: Detailed description of certificate S_DV_CERT_02

S_AT_CERT_02

Table 7 describes certificate S_AT_CERT_02 of authentication terminal S_AT_02 in detail.

ID	S_AT_CERT_02
Purpose	This certificate is a regular AT certificate, which is issued by the S_DV_CERT_02.
Version	eSign_1.0

Referred by	ESIGN_ISO7816_S_2, ESIGN_ISO7816_S_8, ESIGN_ISO7816_S_20	

Content	`7F 21 aa`
	`  7F 4E bb`
	`  5F 29 01 00`
	`  42 cc dd`
	`  7F 49 ee ff`
	`  5F 20 gg hh`
	`  7F 4C 0E`
	`  06 09 04 00 7F 00 07 03 01 02 02`
	`  53 05 00 1F FF FF C0`
	`  5F 25 06 ii`
	`  5F 24 06 jj`
	`5F 37 kk ll`
	aa is the encoded combined length of certificate body and signature objects,
	bb is the encoded length the certificate body object,
	cc is the encoded length of the Certificate Authority Reference,
	dd is the placeholder for the Certificate Authority Reference (*cc* bytes),
	ee is the encoded length of the certificates public key,
	ff is the placeholder for the certificates public key bytes (*ee* bytes),
	gg is the encoded length of the Certificate Holder Reference,
	hh is the placeholder for the Certificate Holder Reference (*gg* bytes),
	ii is the placeholder for the BCD encoded effective date of the certificate,
	jj is the placeholder for the BCD encoded expiration date of the certificate,
	kk is the encoded length of the certificates signature object,
	ll is the placeholder for the certificates signature (*kk* bytes).	

Parameters	***Certificate Authority Reference***	DETESTSIGNDV0002
	Certificate Holder Reference	DETESTSIGNAT0002
	Certificate Holder Authorisation	AT; read DG1-21, Install Certificate, Install Qualified Certificate
	Certificate Effective Date	CVCAeff
	Certificate Expiration Date	CVCAeff + 14 days
	Public Key Reference	Public key of S_AT_KEY_02
	Signing Key Reference	Signed by private key of S_DV_KEY_02

Table 7: Detailed description of certificate S_AT_CERT_02

S_AT_CERT_02a

Table 8 describes certificate S_AT_CERT_02a of authentication terminal S_AT_02 in detail.

ID	S_AT_CERT_02a

Purpose	This certificate is a regular AT certificate, which is issued by the S_DV_CERT_02. It is almost identical to S_AT_CERT_02, but the right for Install Qualified Certificate is missing.	
Version	eSign_1.0	
Referred by	ESIGN_ISO7816_S_13	

Content	7F 21 aa
	  7F 4E bb
	  5F 29 01 00
	  42 cc dd
	  7F 49 ee ff
	  5F 20 gg hh
	  7F 4C 0E
	  06 09 04 00 7F 00 07 03 01 02 02
	  53 05 00 1F FF FF 40
	  5F 25 06 ii
	  5F 24 06 jj
	  5F 37 kk ll
	aa is the encoded combined length of certificate body and signature objects,
	bb is the encoded length the certificate body object,
	cc is the encoded length of the Certificate Authority Reference,
	dd is the placeholder for the Certificate Authority Reference (*cc* bytes),
	ee is the encoded length of the certificates public key,
	ff is the placeholder for the certificates public key bytes (*ee* bytes),
	gg is the encoded length of the Certificate Holder Reference,
	hh is the placeholder for the Certificate Holder Reference (*gg* bytes),
	ii is the placeholder for the BCD encoded effective date of the certificate,
	jj is the placeholder for the BCD encoded expiration date of the certificate,
	kk is the encoded length of the certificates signature object,
	ll is the placeholder for the certificates signature (*kk* bytes).	

Parameters	***Certificate Authority Reference***	DETESTSIGNDV0002
	Certificate Holder Reference	DETESTSIGNAT0002
	Certificate Holder Authorisation	AT; read DG1-21, Install Certificate
	Certificate Effective Date	CVCAeff
	Certificate Expiration Date	CVCAeff + 14 days
	Public Key Reference	Public key of S_AT_KEY_02
	Signing Key Reference	Signed by private key of S_DV_KEY_02

Table 8: Detailed description of certificate S_AT_CERT_02a

3 Test cases

This chapter defines the additional tests required for the eSign application.

Test case notation

The test cases defined below specify a set of command APDU which have to be sent to the test sample. While same parts of these APDUs are fixed, other elements have variable values which cannot be defined in general. The variable parts are marked by placeholder values which have to be replaced by the actual values. The following placeholders are commonly used and therefore defined within table 9 in a global manner. All other placeholders are defined within the corresponding test case definition.

Placeholder	*Definition*
<Lc>	The length bytes containing the length of the APDU command data.
<Le>	The length bytes containing the length of the requested response data.
<Lxy>	The encoded length of the data object xy.
<Cryptogram>	The encrypted part of a Secure Messaging APDU. The data content of this cryptogram is defined in the corresponding test case definition.
<Checksum>	The cryptographic checksum, which is calculated over the protected parts of the Secure Messaging command.
<eSign-PIN reference>	The reference to the eSign-PIN object on the chip as stated in ICS.
<eSign-PIN>	The value of the eSign-PIN as stated in ICS.
<Digital Signature Template referencing signature key>	The Digital Signature Template with reference to the signature key as stated in ICS.
<Public key>	The public result of a key generation operation performed by the chip.
<Hash of data>	The result of an externally performed hash operation in order to sign that source data.
<Signature>	The result of a signature operation performed by the chip.

Table 9: Description of commonly used placeholders

The eSign application requires a working eID application for authentication. So, it is necessary to perform a full General Authentication Procedure before selecting the eSign application. In order to

shorten commonly used preconditions, the term “perform GAP with some password and some certificates” means that

1. the PACE mechanism MUST be performed using this password and a CHAT that matches terminal type and authorization of these certificates,
2. the Terminal Authentication mechanism MUST be performed using these certificates,
3. the Chip Authentication MUST be performed and
4. all following APDUs MUST be sent as valid Secure Messaging APDUs.

Unit test ESIGN_ISO7816_S – eSign

These test cases check the eSign application.

ESIGN_ISO7816_S_1

Table 10 describes test case ESIGN_ISO7816_S_1 in detail.

ID	ESIGN_ISO7816_S_1
Purpose	Positive test: Set new eSign-PIN
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN and eSign key pair MUST NOT have been set. 2. GAP with eID-PIN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Change Reference Data APDU to the eCard: '0C 24 01 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>'
Expected results	1. '90 00' within a valid Secure Messaging response.

Table 10: Description of test case ESIGN_ISO7816_S_1

ESIGN_ISO7816_S_2

Table 11 describes test case ESIGN_ISO7816_S_2 in detail.

ID	ESIGN_ISO7816_S_2
Purpose	Positive test: Generate new eSign key pair
Version	eSign_1.0
Profile	eID, eSign
Preconditions	1. eSign-PIN MUST have been set. 2. eSign key pair MUST NOT have been set. 3. GAP with eID-PIN and certificate chain (S_DV_CERT_02, S_AT_CERT_02) MUST have been performed. 4. The eSign application MUST have been selected.
Scenario	1. Send the given Generate Asymmetric Key Pair APDU to the eCard: '0C 47 82 00 <Lc> 85 <L85> <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Digital Signature Template referencing signature key>'
Expected results	1. '7F 49 <L7F49> <Public key> 90 00' within a valid Secure Messaging response.

Table 11: Description of test case ESIGN_ISO7816_S_2

ESIGN_ISO7816_S_3

Table 12 describes test case ESIGN_ISO7816_S_3 in detail.

ID	ESIGN_ISO7816_S_3
Purpose	Positive test: Verify eSign-PIN
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Verify APDU to the eCard: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01

	<Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>'
Expected results	1. '90 00' within a valid Secure Messaging response.

Table 12: Description of test case ESIGN_ISO7816_S_3

ESIGN_ISO7816_S_4

Table 13 describes test case ESIGN_ISO7816_S_4 in detail.

ID	ESIGN_ISO7816_S_4
Purpose	Positive test: Generate signature
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN and eSign key pair MUST have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Verify APDU to the eCard: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>' 2. Send the given PSO: Compute Digital Signature APDU to the eCard to get the signature: '0C 2A 9E 9A <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Hash of data>' 3. Verify signature.
Expected results	1. '90 00' within a valid Secure Messaging response. 2. '<Signature> 90 00' within a valid Secure Messaging response. 3. TRUE

Table 13: Description of test case ESIGN_ISO7816_S_4

ESIGN_ISO7816_S_5

Table 14 describes test case ESIGN_ISO7816_S_5 in detail.

ID	ESIGN_ISO7816_S_5
Purpose	Positive test: Change eSign-PIN
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Change Reference Data APDU to the eCard: '0C 24 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<old eSign-PIN || new eSign-PIN>' 2. Power off the chip and restore preconditions. 3. Send the given Verify APDU to the eCard to verify new PIN: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<new eSign-PIN>'
Expected results	1. '90 00' within a valid Secure Messaging response. 2. TRUE 3. '90 00' within a valid Secure Messaging response.

Table 14: Description of test case ESIGN_ISO7816_S_5

ESIGN_ISO7816_S_6

Table 15 describes test case ESIGN_ISO7816_S_6 in detail.

ID	ESIGN_ISO7816_S_6
Purpose	Positive test: Terminate eSign-PIN

Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been set. 2. GAP with eID-PIN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Terminate APDU to the eCard: '0C E6 10 <eSign-PIN reference> <Lc> 8E 08 <Checksum> 00"
Expected results	1. '90 00' within a valid Secure Messaging response.

Table 15: Description of test case ESIGN_ISO7816_S_6

ESIGN_ISO7816_S_7

Table 16 describes test case ESIGN_ISO7816_S_7 in detail.

ID	ESIGN_ISO7816_S_7
Purpose	Positive test: Terminate eSign key pair
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST NOT have been set, i.e. MUST have been terminated. 1. eSign key pair MUST have been set. 2. GAP with eID-PIN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Terminate APDU to the eCard: '0C E6 21 00 <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Digital Signature Template referencing signature key>'
Expected results	1. '90 00' within a valid Secure Messaging response.

Table 16: Description of test case ESIGN_ISO7816_S_7

ESIGN_ISO7816_S_8

Table 17 describes test case ESIGN_ISO7816_S_8 in detail.

ID	ESIGN_ISO7816_S_8
Purpose	Negative test: Generate new eSign key pair while eSign-PIN is still missing
Version	eSign_1.0
Profile	eID, eSign
Preconditions	1. eSign-PIN and eSign key pair MUST NOT have been set. 2. GAP with eID-PIN and certificate chain (S_DV_CERT_02, S_AT_CERT_02) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Generate Asymmetric Key Pair APDU to the eCard: '`0C 47 82 00 <Lc> 85 <L85> <Cryptogram> 8E 08 <Checksum> 00`' - `<Cryptogram>` contains the following encrypted data objects: '`<Digital Signature Template referencing signature key>`'
Expected results	1. '`69 82`' or '`69 84`' within a valid Secure Messaging response.

Table 17: Description of test case ESIGN_ISO7816_S_8

ESIGN_ISO7816_S_9

Table 18 describes test case ESIGN_ISO7816_S_9 in detail.

ID	ESIGN_ISO7816_S_9
Purpose	Negative test: Verify eSign-PIN while it is still missing
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST NOT have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.

Scenario	1. Send the given Verify APDU to the eCard: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>'
Expected results	1. '69 84' or '69 82' or '6A 88' within a valid Secure Messaging response.

Table 18: Description of test case ESIGN_ISO7816_S_9

ESIGN_ISO7816_S_10

Table 19 describes test case ESIGN_ISO7816_S_10 in detail.

ID	ESIGN_ISO7816_S_10
Purpose	Negative test: Set new eSign-PIN but new PIN is too short
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN and eSign key pair MUST NOT have been set. 2. GAP with eID-PIN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Change Reference Data APDU to the eCard: '0C 24 01 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>' - Use a new eSign-PIN that is shorter than minimum eSign-PIN length. 2. Power off the chip and perform test case ESIGN_ISO7816_S_9 to verify that the new eSign-PIN was not accepted. 3. Power off the chip and perform test case ESIGN_ISO7816_S_1 to verify that setting an eSign-PIN is still possible.
Expected results	1. '6A 80' or '6A 87' or '69 82' or other error within a valid Secure Messaging response. 2. TRUE 3. TRUE

Table 19: Description of test case ESIGN_ISO7816_S_10

ESIGN_ISO7816_S_11

Table 20 describes test case ESIGN_ISO7816_S_11 in detail.

ID	ESIGN_ISO7816_S_11
Purpose	Negative test: Set new eSign-PIN while it has already been set
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been set. 2. eSign key pair MUST NOT have been set. 3. GAP with eID-PIN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 4. The eSign application MUST have been selected.
Scenario	1. Send the given Change Reference Data APDU to the eCard: '0C 24 01 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<new eSign-PIN>' - Note: The Change Reference Data APDU is used in “set PIN mode” (NOT “change PIN mode”). 2. Power off the chip and perform GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01). 3. Send the given Verify APDU to the eCard to verify that new PIN has not been set: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<new eSign-PIN>' 4. Send the given Verify APDU to the eCard to verify that old PIN is still valid: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<old eSign-PIN>'

Expected results	1. '69 82' or '69 84' within a valid Secure Messaging response. 2. TRUE 3. '63 CX' within a valid Secure Messaging response, where X indicates the number of remaining verification tries. 4. '90 00' within a valid Secure Messaging response.

Table 20: Description of test case ESIGN_ISO7816_S_11

ESIGN_ISO7816_S_12

Table 21 describes test case ESIGN_ISO7816_S_12 in detail.

ID	ESIGN_ISO7816_S_12
Purpose	Negative test: Generate signature while eSign key pair is still missing
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been set. 2. eSign key pair MUST NOT have been set. 3. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 4. The eSign application MUST have been selected.
Scenario	1. Send the given Verify APDU to the eCard: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>' 2. Send the given PSO: Compute Digital Signature APDU to the eCard to get the signature: '0C 2A 9E 9A <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Hash of data>'
Expected results	1. '90 00' within a valid Secure Messaging response. 2. '69 84' or '69 82' within a valid Secure Messaging response.

Table 21: Description of test case ESIGN_ISO7816_S_12

ESIGN_ISO7816_S_13

Table 22 describes test case ESIGN_ISO7816_S_13 in detail.

ID	ESIGN_ISO7816_S_13
Purpose	Negative test: Generate new eSign key pair, but the terminal is not authorized
Version	eSign_1.0
Profile	eID, eSign
Preconditions	1. eSign-PIN MUST have been set. 2. eSign key pair MUST NOT have been set. 3. GAP with eID-PIN and certificate chain (S_DV_CERT_02, S_AT_CERT_02a) MUST have been performed. 4. The eSign application MUST have been selected.
Scenario	1. Send the given Generate Asymmetric Key Pair APDU to the eCard: '`0C 47 82 00 <Lc> 85 <L85> <Cryptogram> 8E 08 <Checksum> 00`' - `<Cryptogram>` contains the following encrypted data objects: '`<Digital Signature Template referencing signature key>`' 2. Power off the chip and perform test case ESIGN_ISO7816_S_2 to verify that generating an eSign key pair is still possible with authorized certificates.
Expected results	1. '`69 82`' within a valid Secure Messaging response. 2. TRUE

Table 22: Description of test case ESIGN_ISO7816_S_13

ESIGN_ISO7816_S_14

Table 23 describes test case ESIGN_ISO7816_S_14 in detail.

ID	ESIGN_ISO7816_S_14
Purpose	Negative test: Change eSign-PIN but new PIN is too short
Version	eSign_1.0
Profile	eSign

Preconditions	1. eSign-PIN MUST have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Change Reference Data APDU to the eCard: '0C 24 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<old eSign-PIN || new eSign-PIN>' - Use a new eSign-PIN that is shorter than minimum eSign-PIN length. 2. Power off the chip and restore preconditions. 3. Send the given Verify APDU to the eCard to verify old PIN: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<old eSign-PIN>'
Expected results	1. '6A 80' or 6A 87' or '69 82' or other error within a valid Secure Messaging response. 2. TRUE 3. '90 00' within a valid Secure Messaging response.

Table 23: Description of test case ESIGN_ISO7816_S_14

ESIGN_ISO7816_S_15

Table 24 describes test case ESIGN_ISO7816_S_15 in detail.

ID	ESIGN_ISO7816_S_15
Purpose	Negative test: Block eSign-PIN
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.

Scenario	1. Send the given Verify APDU to the eCard: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>' - Use an INVALID eSign-PIN to force a validation failure. 2. Repeat step 1 until '63 C0' or '69 83' or '69 82' is returned.
Expected results	1. '63 CX' within a valid Secure Messaging response. X is the default retry counter for eSign-PIN as stated in ICS reduced by one. 2. '63 CX' within a valid Secure Messaging response. X is reduced each time. Finally, '63 C0' or '69 83' or '69 82' is returned.

Table 24: Description of test case ESIGN_ISO7816_S_15

ESIGN_ISO7816_S_16

Table 25 describes test case ESIGN_ISO7816_S_16 in detail.

ID	ESIGN_ISO7816_S_16
Purpose	Negative test: Verify eSign-PIN while it is blocked
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been blocked. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Verify APDU to the eCard: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>'
Expected results	1. '63 C0' or '69 83' or '69 82' within a valid Secure Messaging response.

Table 25: Description of test case ESIGN_ISO7816_S_16

ESIGN_ISO7816_S_17

Table 26 describes test case ESIGN_ISO7816_S_17 in detail.

ID	ESIGN_ISO7816_S_17
Purpose	Negative test: Change eSign-PIN while it is blocked
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been blocked. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Change Reference Data APDU to the eCard: '0C 24 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<old eSign-PIN || new eSign-PIN>'
Expected results	1. '69 83' or '69 82' within a valid Secure Messaging response.

Table 26: Description of test case ESIGN_ISO7816_S_17

ESIGN_ISO7816_S_18

Table 27 describes test case ESIGN_ISO7816_S_18 in detail.

ID	ESIGN_ISO7816_S_18
Purpose	Positive test: Unblock eSign-PIN
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been blocked. 2. GAP with PUK and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Reset Retry Counter APDU to the eCard:

	'0C 2C 03 <eSign-PIN reference> <Lc> 8E 08 <Checksum> 00' 2. Power off the chip and perform test case ESIGN_ISO7816_S_3 to verify that the eSign-PIN was unblocked.
Expected results	1. '90 00' within a valid Secure Messaging response. 2. TRUE

Table 27: Description of test case ESIGN_ISO7816_S_18

ESIGN_ISO7816_S_19

Table 28 describes test case ESIGN_ISO7816_S_19 in detail.

ID	ESIGN_ISO7816_S_19
Purpose	Negative test: Generate multiple signatures
Version	eSign_1.0
Profile	eID, eSign
Preconditions	1. eSign-PIN and eSign key pair MUST have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Verify APDU to the eCard: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>' 2. Send the given PSO: Compute Digital Signature APDU to the eCard to get the signature: '0C 2A 9E 9A <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Hash of data>' 3. Send the given PSO: Compute Digital Signature APDU to the eCard to get another signature: '0C 2A 9E 9A <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects:

	'<Hash of data>'
Expected results	1. '90 00' within a valid Secure Messaging response. 2. '<Signature> 90 00' within a valid Secure Messaging response. 3. '69 82' within a valid Secure Messaging response.

Table 28: Description of test case ESIGN_ISO7816_S_19

ESIGN_ISO7816_S_20

Table 29 describes test case ESIGN_ISO7816_S_20 in detail.

ID	ESIGN_ISO7816_S_20
Purpose	Negative test: Generate new eSign key pair while eSign key pair has already been set
Version	eSign_1.0
Profile	eID, eSign
Preconditions	1. eSign-PIN and eSign key pair MUST have been set. 2. GAP with eID-PIN and certificate chain (S_DV_CERT_02, S_AT_CERT_02) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Generate Asymmetric Key Pair APDU to the eCard: '0C 47 82 00 <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Digital Signature Template referencing signature key>'
Expected results	1. '69 82' or '69 84' within a valid Secure Messaging response.

Table 29: Description of test case ESIGN_ISO7816_S_20

ESIGN_ISO7816_S_21

Table 30 describes test case ESIGN_ISO7816_S_21 in detail.

ID	ESIGN_ISO7816_S_21

Purpose	Negative test: Generate signature without verifying eSign-PIN
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN and eSign key pair MUST have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given PSO: Compute Digital Signature APDU to the eCard to get the signature: '0C 2A 9E 9A <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Hash of data>'
Expected results	1. '69 82' within a valid Secure Messaging response.

Table 30: Description of test case ESIGN_ISO7816_S_21

ESIGN_ISO7816_S_22

Table 31 describes test case ESIGN_ISO7816_S_22 in detail.

ID	ESIGN_ISO7816_S_22
Purpose	Negative test: Terminate eSign key pair, but skip terminating eSign-PIN
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN and eSign key pair MUST have been set. 2. GAP with eID-PIN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Terminate APDU to the eCard: '0C E6 21 00 <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Digital Signature Template referencing signature key>'

	key>' 2. Power off the chip and perform test case ESIGN_ISO7816_S_4 to verify that eSign is still operational.
Expected results	1. '69 82' or '69 84' within a valid Secure Messaging response. 2. TRUE

Table 31: Description of test case ESIGN_ISO7816_S_22

ESIGN_ISO7816_S_23

Table 32 describes test case ESIGN_ISO7816_S_23 in detail.

ID	ESIGN_ISO7816_S_23
Purpose	Negative test: Generate signature, but the terminal is not authorized
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN and eSign key pair MUST have been set. 2. GAP with CAN and certificate chain (S_DV_CERT_01, S_ST_CERT_01a) MUST have been performed. 3. The eSign application MUST have been selected.
Scenario	1. Send the given Verify APDU to the eCard: '0C 20 00 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<eSign-PIN>' 2. Send the given PSO: Compute Digital Signature APDU to the eCard: '0C 2A 9E 9A <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00' - <Cryptogram> contains the following encrypted data objects: '<Hash of data>'
Expected results	1. '69 82' within a valid Secure Messaging response. 2. '69 82' within a valid Secure Messaging response.

Table 32: Description of test case ESIGN_ISO7816_S_23

ESIGN_ISO7816_S_24

Table 33 describes test case ESIGN_ISO7816_S_24 in detail.

ID	ESIGN_ISO7816_S_24
Purpose	Negative test: Set new eSign-PIN while eSign key pair has already been generated
Version	eSign_1.0
Profile	eSign
Preconditions	1. eSign-PIN MUST have been terminated by performing test case ESIGN_ISO7816_S_6. 2. eSign key pair MUST have been set. 3. GAP with eID-PIN and certificate chain (S_DV_CERT_01, S_ST_CERT_01) MUST have been performed. 4. The eSign application MUST have been selected.
Scenario	1. Send the given Change Reference Data APDU to the eCard: '`0C 24 01 <eSign-PIN reference> <Lc> 87 <L87> 01 <Cryptogram> 8E 08 <Checksum> 00`' - `<Cryptogram>` contains the following encrypted data objects: '`<new eSign-PIN>`'
Expected results	1. '`69 82`' or '`69 84`' within a valid Secure Messaging response.

Table 33: Description of test case ESIGN_ISO7816_S_24

Appendix

Additional information about this document is provided below.

History

Table 34 contains the version history of this document.

Version	*Date*	*Editor*	*Description*
1.0 RC1	08.01.10	BSI/secunet AG	Initial release
1.0 RC2	04.03.10	BSI/secunet AG	Resolved comments from DIF
1.0	01.04.10	BSI/secunet AG	Resolved comments from DIF First public release

Table 34: History of this document

Bibliography

TR03117 2009 BSI, TR-03117: eCards mit kontaktloser Schnittstelle als sichere Signaturerstellungseinheit, Version 1.0, 2009

TR03110 2009 BSI, TR-03110: Advanced Security Mechanisms for Machine Readable Travel Documents - Extended Access Control (EAC),Password Authenticated Connection Establishment (PACE) and Restricted Identification (RI), Version 2.02, 2009

Implementation conformance statement (ICS)

In order to set up the tests properly, an applicant SHALL provide the information specified in this appendix. Some tests defined in this document are depending on the supported functionality of the eCard. The test results will only cover the function declared in this statement.

Table 35 lists some additional information about the applicant.

CAN	
eID-PIN	
eSign-PIN	
Minimum eSign-PIN length	
Default Retry Counter (eSign)	
eSign-PIN reference	
Digital Signature Template with reference to the signature key	
PUK	
Initial value of PUK Use Counter	

Table 35: Additional information about applicant